



**BOARD ASSESSMENT QUESTIONNAIRE
(GROUP INTERNAL AUDIT HEAD, CHIEF RISK & REPUTATION OFFICER &
COMPLIANCE OFFICER)**

Name of Assessor:	
Date Completed:	
Period Covered:	2019

This Board Assessment Questionnaire is composed of varying statements to assess the performance of the Group Internal Audit Head, Chief Risk & Reputation Officer, and Chief Compliance Officer found under the Company's Manual on Corporate Governance and relevant rules. Please evaluate how well they performed and indicate the rating at the appropriate box using the following rating scale:

<u>Rating</u>	<u>Definition</u>
<u>5</u>	<u>Excellent</u>
<u>4</u>	<u>Above Average</u>
<u>3</u>	<u>Average</u>
<u>2</u>	<u>Below Average</u>
<u>1</u>	<u>Poor</u>

Good Corporate Governance Practices and Principles		Rating (1-5)	Remarks
<i>Group Internal Audit Head</i>			
1	Annually reviews the Company's Audit Charter and, if necessary, presents improvements to the Board Audit Committee for discussion and approval.		
2	Conducts regular audits of the Company's related party transactions to ensure that there are no conflict of interests, and that transactions are within the approval levels of financial transactions and duly approved by the Board.		
3	Determines and conducts audits that are in the regular course of business and those that are unusual or subject to a conflict of interest.		
4	Submits reports on the following: a. Progress of the internal audit plan; and b. Summary of internal audit activities and significant reports.		
5	Ensures improvement of the various internal control procedures to increase efficiency and mitigate potential risks, and thereafter recommends to the Board Audit Committee for approval.		
<i>Chief Risk & Reputation Officer</i>			
1	Annually reviews the Company's Chief Risk & Reputation Committee Charter and presents to the Board committee for approval.		
2	Spearheads the development and implementation of the Company's Enterprise Risk Management (ERM).		
3	Identifies the Company's top risks and implements risk management strategies and action plans to the Board Risk & Reputation Committee.		
4	Reviews effectiveness of the risk measures and ensures improvement of the risk measures and strategies.		
<i>Compliance Officer</i>			
1	Ensures proper onboarding of new Directors (i.e., orientation on the Company's business charters, Articles of Incorporation, By-Laws, and Manual on Corporate Governance)		
2	Monitors, reviews, and evaluates the compliance and accuracy of all documentary submissions of the Company, its Directors and Corporate Officers with the relevant laws, provisions and requirements of the Manual on Corporate Governance, the rules and regulations of the Securities and Exchange Commission (SEC) and other regulatory agencies.		
3	Ensures the compliance and attendance of Directors and Corporate Officers to relevant trainings yearly.		
4	Identifies possible areas of compliance issues and work towards the resolution and improvements.		